



PHONE (313) 926-5000 FAX (313) 823-6016



## INTERNATIONAL UNION, UNITED AUTOMOBILE, AEROSPACE & AGRICULTURAL IMPLEMENT WORKERS OF AMERICA — UAW

BOB KING, PRESIDENT

DENNIS D. WILLIAMS, SECRETARY-TREASURER

VICE-PRESIDENTS: JOE ASHTON • CINDY ESTRADA • GENERAL HOLIEFIELD • JIMMY SETTLES

Associate General Counsel

Michael Nicholson General Counsel Niraj R. Gariatra Deputy General Counsel Ava Barbour Carlos F. Bermudez William J. Karges

Michael F. Saggau Maneesh Sharma Blair K. Simmons

June 28, 2013

Jeffrey D. Sodko Stephen A. Yokich

Kevin Fortkiewicz Campaign Finance Analyst Reports Analysis Division Federal Election Commission 999 E Street, NW Washington, DC 20463

> Re: UAW V-CAP Amended 12 Day Pre-General Report Response

Dear Mr. Fortkiewicz:

Your May 31, 2013 letter suggests that UAW V-CAP (C00002840) may have failed to file a 48 hour report with the FEC pursuant to 11 CFR § 104.4(b) and to fully itemize the expenditure on Schedule E of the next report to be filed by UAW V-CAP for two separate independent expenditures (lawn signs) totaling \$9,204.37 distributed in late 2012. UAW V-CAP, however, did file its 48 hour report and itemized the expenditure by amendment in January 2013. UAW V-CAP's filings were tardy due to an internal reporting error.

The independent expenditures were lawn signs distributed in October 2012 but the invoice was not issued and conveyed to the individual responsible for filing reports until January 2013. As a matter of process, notice is to be given to the individual responsible for filing independent expenditures prior to distribution of any lawn sign in instances where payment is due later than distribution so timely disclosures can be made. Here, prior notice was mistakenly not given despite the procedure and the omission was only discovered upon receipt of the invoice. Once this oversight was discovered, UAW V-CAP took prompt remedial action by filing 48 hour reports and amending its schedule E to properly itemize the expenditure. UAW V-CAP will take steps in the future to make certain that this error does not recur. We ask that you refrain from issuing any penalty or that you waive any penalty in light of V-CAP's responsive corrective action.

Sincerely.

Carlos F. Bermudez

**Associate General Counsel** 

**UAW V-CAP** 

CB/tf opeiu494

cc: Kim Geromin

## **Federal Election Commission ENVELOPE REPLACEMENT PAGE FOR INCOMING DOCUMENTS** The FEC added this page to the end of this filing to indicate how it was received. Date of Receipt Hand Delivered Postmarked **USPS First Class Mail** Postmarked (R/C) **USPS** Registered/Certified Postmarked **USPS** Priority Mail Delivery Confirmation™ or Signature Confirmation™ Label Postmarked **USPS Express Mail** Postmark Illegible No Postmark **Shipping Date** Overnight Delivery Service (Specify): **Next Business Day Delivery Date of Receipt** Received from House Records & Registration Office Date of Receipt Received from Senate Public Records Office Date of Receipt Received from Electronic Filing Office Date of Receipt or Postmarked Other (Specify): **PREPARER** DATE PREPARED

(3/2005)